

# $\Theta$ dependence of 4D $SU(N)$ gauge theories

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The dependence of QCD, and general 4D  $SU(N)$  gauge theories, on the topological  $\theta$  term is addressed at zero and finite temperature, and in particular in the large- $N$  limit.

General arguments and numerical analyses exploiting the lattice formulation show that it drastically changes across the deconfinement transition. The low- $T$  phase is characterized by a large- $N$  scaling with  $\theta/N$  as relevant variable, while in the high- $T$  phase the scaling variable is just  $\theta$  and the free energy is essentially determined by the instanton-gas approximation.

QCD,  $SU(N = 3)$  gauge theory with  $N_f = 6$  quarks

$$\mathcal{L}_{\text{Euclidean}} = \frac{1}{4} F_{\mu\nu}^a(x) F_{\mu\nu}^a(x) + \sum_{f=1}^{N_f} \bar{\psi}_f (D + m_f) \psi_f.$$

Actually, its reduced version  $\mathcal{L}_{\text{lite}} = \frac{1}{4} F_{\mu\nu}^a(x) F_{\mu\nu}^a(x) + \sum_{f=u,d} \bar{\psi}_f D \psi_f$  (no free parameters) provides most matter of visible universe

Some flavors are very light,  $n_f = 2, 3$ , thus chiral ( $m_f = 0$ ) symmetries:

- classically,  $U(n_f)_L \otimes U(n_f)_R \approx U(1)_V \otimes U(1)_A \otimes SU(n_f)_V \otimes SU(n_f)_A$
- but the spectrum shows only  $U(1)_V \otimes SU(n_f)_V$ , and quasi-Goldstone bosons associated with  $SU(n_f)_A$
- In particular,  $\theta$ -dependence is connected with the missing  $U(1)_A$
- quark masses  $m_u \approx m_d/2 \approx 2.5 \text{ Mev}$   $\rightarrow$  soft breaking

The strong-interaction theory QCD, and general 4D  $SU(N)$  gauge theories, have a nontrivial  $\theta$  dependence

$$\mathcal{L}_{\theta, \text{Euclidean}} = \frac{1}{4} F_{\mu\nu}^a(x) F_{\mu\nu}^a(x) - i\theta \frac{g^2}{64\pi^2} \epsilon_{\mu\nu\rho\sigma} F_{\mu\nu}^a(x) F_{\rho\sigma}^a(x),$$

$q(x) = \frac{g^2}{64\pi^2} \epsilon_{\mu\nu\rho\sigma} F_{\mu\nu}^a(x) F_{\rho\sigma}^a(x)$  is the topological charge density.

→ The topological  $\theta$  term violates parity and time reversal.

$|\theta| \lesssim 10^{-9}$  from experimental bounds on the neutron electric dipole moment:  $|d_n| < 3 \times 10^{-26} e \text{ cm}$ , and  $d_n \sim \theta e m_\pi^2 / m_n^3 \approx 10^{-16} \theta e \text{ cm}$ .

→ Nevertheless  $\theta$  dependence remains an interesting issue,

for example,  $U(1)_A$  problem → the axial  $U(1)_A$  symmetry is not realized in the QCD spectrum, neither explicitly nor as a Goldstone mechanism ( $m_{\eta'} > \sqrt{3}m_\pi$ ), being violated at quantum level

- $\theta$  dependence vanishes in perturbation theory.
  - In the semiclassical picture, contributions from classical instanton solutions with  $Q = \int d^4x q[A_I(x)] \neq 0$  give rise to tunneling between  $n$ -vacua, leading to  $\theta$  vacua:  $|\theta\rangle = \sum_n e^{in\theta} |n\rangle$ .
  - Numerical evidence of a nontrivial  $\theta$  dependence from the lattice formulation of the theory.
  - The  $U(1)_A$  charge is not conserved due to the chiral anomaly  $\partial_\mu j_5^\mu(x) = i2N_f q(x) \rightarrow$  explaining the large  $\eta'$  mass.
  - **At finite  $T$ :**  $\theta$ -dep is related to the softening of the  $U(1)_A$  breaking, effective  $U(1)_A$  symmetry restoration,  $T$ -dep of  $\eta'$  mass, nature of the hadron-to-quarkgluon transition, spectrum of the excitations, etc...
- possible evidences from heavy-ion collisions

## Plan of the talk

- General scenario for the  $\theta$  dep from  $T = 0$  to high- $T$ , mostly discussed within pure gauge theories
- $\theta$  dependence within the large- $N$  framework  $\longrightarrow$  large- $N$  scaling expected to hold at  $T = 0$
- analytic and periodic  $\theta$  dependence from instanton-gas approximations  $\longrightarrow$  expected to be effective at  $T \gg T_c$
- sharp change of  $\theta$  dependence across the deconfinement transition
- Overview of lattice results for the  $\theta$  dependence at  $T = 0$  and finite  $T$ , across the deconfinement transition, supporting the  $\theta$  dependence
- Monte Carlo simulations in the presence of  $\theta$  are affected by the sign problem: expansion around  $\theta = 0$  and simulations at imaginary  $\theta$
- Conclusions and a few more remarks on  $\theta$  dependence in full QCD

$\theta$  dependence of the ground-state energy

$$E(\theta) = -\frac{1}{V_4} \ln \int [dA] \exp \left( - \int d^4x \mathcal{L}_\theta \right)$$

$$\mathcal{L}_\theta = \frac{1}{4} F_{\mu\nu}^a(x) F_{\mu\nu}^a(x) - i\theta q(x), \quad q(x) = \frac{g^2}{64\pi^2} \epsilon_{\mu\nu\rho\sigma} F_{\mu\nu}^a(x) F_{\rho\sigma}^a(x)$$

The free energy at finite temperature (Gross, Pisarski, Yaffe, RMP 1981)

$$F(\theta, T) = -\frac{1}{V_4} \ln \text{Tr} e^{-H/T} = -\frac{1}{V_4} \ln \int [dA] \exp \left( - \int_0^{1/T} dt \int d^3x \mathcal{L}_\theta \right)$$

$$\mathcal{V}_4 \equiv T/V_3, \quad A_\mu(1/T, \mathbf{x}) = A_\mu(0, \mathbf{x}), \quad E(\theta) = F(\theta, 0)$$

In pure gauge theory  $\theta$  is a dimensionless RG invariant parameter, i.e. it does not renormalize in appropriate RG schemes, such as the  $\overline{\text{MS}}$  scheme

The ground-state/free energy can be parametrized as

(assuming analyticity at  $\theta = 0$  (CP is not broken at  $\theta = 0$ , Vafa, Witten, 1984))

$$\mathcal{F}(\theta, T) \equiv F(\theta, T) - F(0, T) = \frac{1}{2}\chi(T)\theta^2 s(\theta, T)$$

$\chi(T) = \partial^2 F(\theta, T) / \partial \theta^2 |_{\theta=0} = \int d^4x \langle q(x)q(0) \rangle_{\theta=0} = \langle Q^2 \rangle_{\theta=0} / \mathcal{V}_4$  is the topological susceptibility, a measure of topological properties at  $\theta = 0$

$s(\theta, T)$  is a dimensionless even function of  $\theta$  such that  $s(0, T) = 1$ ,  
 $\longrightarrow s(\theta, T) = 1 + b_2(T)\theta^2 + b_4(T)\theta^4 + \dots$

$b_i$  are dimensionless RG invariant quantities, related to the zero-momentum correlation functions of  $q(x)$ , or the cumulants of  $P(Q)$ .

(V, Panagopoulos, PhysRep 2009)

e.g.  $b_2 = -\chi_4 / (12\chi)$  and  $\chi_4 = \int d^4x_1 d^4x_2 d^4x_3 \langle q(0)q(x_1)q(x_2)q(x_3) \rangle_c |_{\theta=0}$

If  $b_{2n} = 0$  then the distribution is Gaussian  $P(Q) = \frac{1}{\sqrt{2\pi\langle Q^2 \rangle}} \exp\left(-\frac{Q^2}{2\langle Q^2 \rangle}\right)$

$\theta$  dependence of the spectrum

$\sigma(\theta) = \sigma (1 + s_2\theta^2 + \dots)$  where  $\sigma$  is the string tension at  $\theta = 0$ .

$M(\theta) = M (1 + g_2\theta^2 + \dots)$  where  $M$  is the  $0^{++}$  glueball mass at  $\theta = 0$

At  $\theta \neq 0$ , the lightest glueball state does not have a definite parity anymore, but it becomes a mixed state of  $0^{++}$  and  $0^{-+}$  glueballs.

The coefficients can be computed from appropriate correlators at  $\theta = 0$ , involving particle sources and  $q(x)$



Within the **large- $N$  framework** ( $N \rightarrow \infty$ ,  $g^2 N$  fixed)

the  $U(1)_A$  problem is explained by assuming a nontrivial  $\theta$  **dependence at the leading (planar)  $1/N$  order**

$$\rightarrow \chi = \frac{f_s^2 m_s^2}{4N_f} \text{ or } \frac{4N_f}{f_\pi^2} \chi = m_{\eta'}^2 + m_\eta^2 - 2m_K^2 \text{ (Witten, Veneziano, 1979)}$$

**Large- $N$  scaling** to  $\mathcal{L}_\theta = \frac{1}{4} F_{\mu\nu}^a(x) F_{\mu\nu}^a(x) - i\theta \frac{g^2}{64\pi^2} \epsilon_{\mu\nu\rho\sigma} F_{\mu\nu}^a(x) F_{\rho\sigma}^a(x)$   
 $\rightarrow$  the relevant scaling variable is  $\bar{\theta} \equiv \theta/N$

$$f(\theta) \equiv \frac{F(\theta) - F(0)}{\sigma^2} = \frac{1}{2} C \theta^2 (1 + b_2 \theta^2 + b_4 \theta^4 + \dots) = N^2 \bar{f}(\bar{\theta})$$

$\bar{f}(\bar{\theta})$  has a nontrivial large- $N$  limit:  $\frac{1}{2} C_\infty \bar{\theta}^2 (1 + \bar{b}_2 \bar{\theta}^2 + \bar{b}_4 \bar{\theta}^4 + \dots)$ ,  
where  $C \equiv \chi/\sigma^2 = C_\infty + c_2/N^2 + \dots$ , and  $b_{2j} = \bar{b}_{2j}/N^{2j} + \dots$

Multibranched  $F(\theta)$ ,  $F(\theta) - F(0) = \mathcal{A} \text{Min}_k (\theta + 2\pi k)^2 + O(1/N)$  avoids the apparent incompatibility with periodicity in  $\theta$  (Witten, AP 1980, PRL 1998).

This scenario is analytically verified within the theoretical laboratory of the **2D  $CP^{N-1}$  models** with a  $N$ -component complex field  $z$  ( $\bar{z}z = 1$ )

$$\mathcal{L}_\theta = \frac{N}{2g} \overline{D_\mu z} D_\mu z - i\theta q(x), \quad D_\mu = \partial_\mu + iA_\mu, \quad A_\mu = i\bar{z}\partial_\mu z,$$

$$q(x) = \frac{1}{2\pi} \epsilon_{\mu\nu} \partial_\mu A_\nu, \quad F(\theta) = -\frac{1}{V} \ln \int [dA] \exp\left(-\int d^2x \mathcal{L}_\theta\right)$$

They share several features with QCD: asymptotic freedom, topology,  $\theta$  vacua.

Unlike 4D  $SU(N)$  gauge theories, **systematic  $1/N$  expansion**, keeping  $g$  fixed, around the large- $N$  saddle-point solution.

**Large- $N$  scaling** analogous to 4D  $SU(N)$  gauge theories at  $T = 0$ :

$$f(\theta) = \xi^2 [F(\theta) - F(0)] = \frac{1}{2} (\chi \xi^2) \theta^2 \left( 1 + \sum_{n=1} b_{2n} \theta^{2n} \right) \approx N \bar{f}(\bar{\theta} \equiv \theta/N),$$

$$\bar{f}(\bar{\theta}) = \frac{1}{2} C_\infty \bar{\theta}^2 \left( 1 + \sum_{n=1} \bar{b}_{2n} \bar{\theta}^{2n} \right), \quad C_\infty = N(\chi \xi^2), \quad \bar{b}_{2n} = N^{2n} b_{2n}$$

Analytic  $1/N$  calculations confirm the large- $N$  scaling

Semiclassically  $\theta$  dependence arises from instantons.

**Instantons:** classical solutions which minimize the action within each topological sector, **tunneling among  $n$ -vacua** (Polyakov 1975, 't Hooft 1976)

$$A_I^\mu = 2\eta^{\mu\nu,a}\tau^a \frac{x_\nu}{x^2 + \rho^2}, \quad S(A_I) = 8\pi^2/g^2$$

Nontrivial  $\theta$  dependence from the expansion of the functional integral in the sector with  $Q = n$  about its minimum action.

$$\begin{aligned} Z &= \int \mathcal{D}A e^{-S(A) + i\theta Q(A)} = \sum_n e^{i\theta n} \int \mathcal{D}A \delta(Q - n) e^{-S(A)} \\ &\approx \sum_n e^{i\theta n} \int du e^{-S(A_I(u))} \text{Det}[Q(A_I(u))] \end{aligned}$$

The one-instanton contribution suggests that the  $\theta$  dependence is exponentially small in  $N$ :  $e^{-8\pi^2/g^2} e^{i\theta} = \left( e^{-8\pi^2/(g^2 N)} e^{i\theta/N} \right)^N$

**This conclusion is incorrect:** the instanton gas approximation fails due to infrared divergences, **large instantons are not suppressed**

## Dilute instanton-gas (DIG) approximation at finite $T$

At finite  $T$ , due to electric screening, only fields with integer  $Q$  can contribute to the functional integral: periodic instantons in  $\beta = 1/T$  (Harrington, Shepard, PRD 1978; Gross, Pisarski, Yaffe, RMP 1981)

$$A_I^\mu = \Pi \bar{\eta}^{\mu\nu, a} (\tau^a / 2i) \partial_\nu \Pi^{-1}, \quad \Pi(t, \mathbf{x}) = 1 + \frac{(\pi \rho^2 T / r) \sinh(2\pi T r)}{\cos(2\pi T r) - \cosh(2\pi T t)}$$

$T$  plays the role of infrared cutoff:  $n_I(\rho) \sim e^{-S(A_I)} \sim e^{-[8\pi^2/g^2 + 2N(\pi\rho T)^2]}$

DIG approximation summing over  $n_+$  instantons and  $n_-$  antiinstantons:

$$\begin{aligned} Z_\theta &= \text{Tr} e^{-H_\theta/T} \approx \sum \frac{1}{n_+! n_-!} (\mathcal{V}_4 D)^{n_+ + n_-} e^{-\frac{8\pi^2(n_+ + n_-)}{g^2} + i\theta(n_+ - n_-)} \\ &= \exp \left[ \cos\theta \times 2\mathcal{V}_4 D \times e^{-8\pi^2/g^2} \right] \end{aligned}$$

therefore  $\mathcal{F}(\theta, T) \equiv F(\theta, T) - F(0, T) \approx \chi(T) (1 - \cos\theta)$

## At high $T$ ... dilute instanton-gas (DIG) approximation

At one loop  $\partial F/\partial\theta = \sin\theta \int_0^\infty d\rho n_I(\rho) \sim \sin\theta \times T^4 e^{-8\pi^2/g^2(T)}$

$$\mathcal{F}(\theta, T) \approx \chi(T) (1 - \cos\theta), \quad \chi(T) \approx T^4 \exp[-8\pi^2/g^2(T)] \sim T^{-\frac{11}{3}N+4},$$

using  $8\pi^2/g^2(T) \approx (11/3)N \ln(T/\Lambda) + O(\ln \ln T/\ln^2 T)$

DIG is a **good approximation when the overlap between instantons becomes negligible**, thus at large  $T$  where  $\chi(T) \sim \rho_{\text{Inst}}$  is suppressed

The high- $T$  DIG  $\theta$  dependence qualitatively differs from that at  $T = 0$ :

- analytic and periodic  $\theta$  dependence
- The large- $N$  scaling is not realized by the DIG approximation: the relevant variable for the instanton gas is  $\theta$ , and **not**  $\theta/N$
- $\chi(T)$  gets exponentially suppressed in the large- $N$  regime, **suggesting a rapid decrease of the topological activity with increasing  $N$  at high  $T$**

- The **low- $T$**  and **high- $T$**  phases are separated by a **1<sup>st</sup>-order deconfinement transition**, at  $T_c/\sqrt{\sigma} \approx 0.545(2) + 0.46(2)/N^2$  (Lucini, etal, 2004,2012) getting stronger with increasing  $N$ ,  $L_h \sim N^2$

- for  $T \ll T_c \rightarrow$  large- $N$  scaling with  $\theta/N$  as scaling variable  $\rightarrow \chi/\sigma^2 \approx C_\infty + c/N^2$  and  $b_k \approx \bar{b}_k/N^k$ .

Does it extend up to  $T_c^-$ ?

- for  $T \gg T_c \rightarrow$  analytic  $\theta$  dependence by DIG approximation:  $\mathcal{F} \approx \chi(T)(1 - \cos\theta)$  with  $\chi(T) \sim T^{-\frac{11}{3}N+4}$ .

Does it extend down to  $T_c^+$ ?

$\rightarrow$  The **low- $T$**  and **high- $T$**   $\theta$  dependence changes around the deconfinement transition ???

Some hints also from models like ADS-CFT, holographic models, etc... (Witten, PRL 1998; Parnashev, Zhitnisky PRD 1998; Unsal PRD 2012, etc)

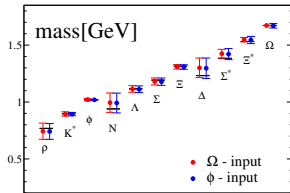
A quantitative study of  $\theta$  dependence requires a nonperturbative approach: **Wilson lattice formulation of QCD**, from the **critical continuum limit of a statistical 4D lattice model**:  $Z = \int [dU] \exp(-S_L)$

$$S_L = -\frac{2a^4}{g_0^2} \sum \text{ReTr} [U_\mu(x)U_\nu(x+a\hat{\mu})U_\mu^\dagger(x+a\hat{\nu})U_\nu^\dagger(x)], \quad U_\mu \in SU(N)$$

Formally, in the  $a \rightarrow 0$  limit, one recovers  $S = \int d^4x \frac{1}{2g_0^2} \text{Tr} F_{\mu\nu} F_{\mu\nu}$ .

The statistical theory develops a mass gap, and therefore a length scale  $\xi$ . The continuum theory is defined in the critical limit  $g_0^2 \rightarrow 0$ , when  $\xi \rightarrow \infty$ :  $\xi = a \exp \int^{g_0} dg / \beta_L(g) \sim a(b_0 g_0^2)^{-b_1/2b_0^2} \exp[1/(2b_0 g_0^2)]$ , where  $\beta_L = adg_0/da = -b_0 g_0^3 - b_1 g_0^5 + \dots$

The lattice formulation lends itself to statistical-physics techniques, such as MC simulations



**Topology from the lattice is a nontrivial issue:** The topology on the lattice is strictly trivial, because its configuration space is simply connected. The topological properties are expected to be recovered in the continuum limit.

From a QFT point view, problems are related to the peculiar singular behavior of the two-point function  $G(x) = \langle q(0)q(x) \rangle$  when  $x \rightarrow 0$  ( $G(x) < 0$  for  $|x| \neq 0$ , while  $\int dx G(x) = \langle Q^2 \rangle / V > 0$ , and  $G(x) \sim r^{-8}(\ln r)^{-2}$ )

Various methods to estimate  $Q$ :

- **Smoothing methods** read  $Q$  after smoothing the configurations. Several variants, such as **cooling, smearing, gradient flow, ...**, equivalent in the relevant scaling region  $\beta \gtrsim 6$  for SU(3).
- The **fermionic definition** through the index of the overlap Dirac operator provides a well-defined estimator for  $Q$ , but **at a much higher computational cost**.
- **Other methods:** Geometrical, Off-equilibrium, etc...

Several numerical checks and comparisons have shown that they provide accurate and reliable results.



The complex nature of the  $\theta$  term  $i\theta q(x)$  in the Euclidean QCD Lagrangian prohibits a direct MC simulation at  $\theta \neq 0$ .

Information on the  $\theta$  dependence of physically relevant quantities can be obtained by computing their expansion around  $\theta = 0$ :

Expansion around  $\theta = 0$ : 
$$F(\theta) - F(0) = \frac{1}{2}\chi\theta^2(1 + b_2\theta^2 + b_4\theta^4 + \dots)$$

$\chi$  and  $b_{2n}$  from correlation functions  $\langle q(x_1)q(x_2)\dots q(x_{2n}) \rangle$  at  $\theta = 0$ ,

$$b_2 = -\frac{\chi_4}{12\chi}, \quad \chi_4 = \frac{1}{V} [\langle Q^4 \rangle - 3\langle Q^2 \rangle^2]_{\theta=0}, \quad Q = \sum_x q(x)$$

$$b_4 = \frac{\chi_6}{360\chi}, \quad \chi_6 = \frac{1}{V} [\langle Q^6 \rangle - 15\langle Q^2 \rangle \langle Q^4 \rangle + 30\langle Q^2 \rangle^3]_{\theta=0}$$

In the continuum limit,  $b_{2k,L} \approx b_{2k} + a^2\sigma^2$  for  $a \rightarrow 0$

$b_{2n} \rightarrow$  deviations from a Gaussian  $P(Q) = \frac{1}{\sqrt{2\pi\langle Q^2 \rangle}} \exp\left(-\frac{Q^2}{2\langle Q^2 \rangle}\right)$

They require large statistics, due to the cancellation of volume factors

Alternatively, **imaginary  $\theta$  term**  $\theta_i = -i\theta$ ,

$$Z_L = \int [dA] \exp(-S_L + \Theta_L Q_L), \quad S_L = -\frac{2}{g_0^2} \sum_{x, \mu > \nu} \text{ReTr } \Pi_{\mu\nu}(x),$$

$Q_L \equiv \sum_x q_L(x)$  is a discretization of  $q(x)$ ,  $\theta_i = Z_\theta \Theta_L$  where  $Z_\theta = \langle Q Q_L \rangle / \langle Q^2 \rangle |_{\theta=0}$

Replacing  $\theta \equiv -i\theta_i$  in the free energy, **to be eventually extended to real  $\theta$**

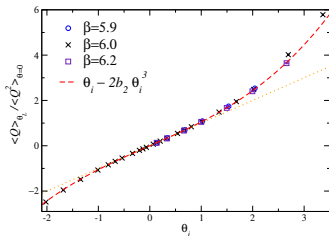
$$\Phi(\theta_i) \equiv \mathcal{F}(-i\theta_i) = -\frac{1}{2} \chi \theta_i^2 s(-i\theta_i) = -\frac{1}{2} \chi \theta_i^2 (1 - b_2 \theta_i^2 + b_4 \theta_i^4 + \dots)$$

- Scaling for  $|\theta_i| \lesssim \pi$  for  $N = 3$ , thus a continuum limit for any value of  $\theta_i$ .

(Panagopoulos, V, JHEP 2011)

- The  $\theta_i$  dep is well described by the first few terms of the expansion around  $\theta = 0$ .

- $\theta$ -dep of  $T_c$  (D'Elia, Negro, PRL 2012)

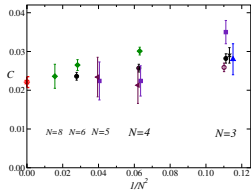


$\theta$  dependence at  $T = 0$

- $\chi \equiv \partial^2 F(\theta)/\partial\theta^2|_{\theta=0} \neq 0$  for SU(3):  $\chi/\sigma^2 = 0.028(2)$  by various methods in agreement with the WV relation  $\frac{4N_f}{f_\pi^2}\chi = m_\eta'^2 + m_\eta^2 - 2m_K^2$

- Nonzero large- $N$  limit:  $\chi/\sigma^2 = 0.022(2)$ , from MC simulations for  $N > 3$  (by Cundy, Del Debbio, Lucini, Panagopoulos, Teper, V., Wenger, ...)

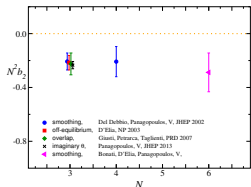
They support the expected large- $N$  behavior:  $\chi/\sigma^2 = C_\infty + c_2/N^2$



- Nonzero higher-order terms of  $F(\theta) - F(0) = \frac{1}{2}\chi\theta^2(1 + b_2\theta^2 + b_4\theta^4 + \dots)$  SU(3) estimates:  $b_2 = -0.026(3)$  and  $|b_4| \lesssim 0.001$  (using various methods to determine  $Q$ )

- Vanishing large- $N$  limit of  $b_k = O(N^{-k})$ , results consistent with  $b_2 \approx \bar{b}_2/N^2$ ,  $\bar{b}_2 \approx -0.2$ ,

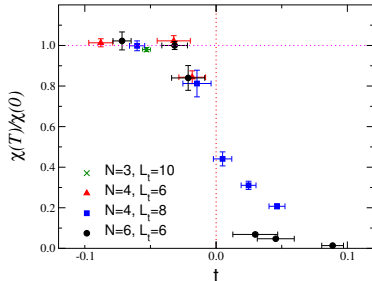
→ Deviations from a simple Gaussian behavior are already small at  $N = 3$  →  $N = 3$  is already large!



- $\chi = \partial^2 F(\theta, T) / \partial \theta^2 |_{\theta=0}$  at finite  $T$

$\chi(T) / \chi(T=0)$  vs  $t \equiv T/T_c - 1$   
across the transition  $\longrightarrow$

Several MC results (Alles, Bonati, Del Debbio,  
D'Elia, Di Giacomo, Lucini, Panagopoulos, Teper,  
V., Wenger, ...)



- $\chi$  remains substantially unchanged in the low- $T$  confined phase.
- Sharp change across the first-order transition, likely discontinuous
- In the high- $T$  phase  $\chi$  is suppressed, especially at large  $N$

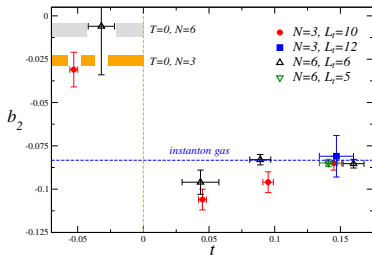
in qualitative agreement with one-loop DIG  $\chi(T) \sim T^{-\frac{11}{3}N+4}$  for  $T \gg T_c$

but larger  $T$  is necessary for a quantitative check of the one-loop DIG approximation, due to logarithmic corrections

- Higher-order terms of  $F(\theta, T) = \frac{1}{2}\chi\theta^2(1 + b_2\theta^2 + b_4\theta^4 + \dots)$  provide a more significant probe of DIG regimes, avoiding the problem of the logarithmic corrections of the prefactor

High-stat MC for  $N = 3, 6$  to check large  $N$  (smoothing techniques for  $Q$ )  
(Bonati, D'Elia, Panagopoulos, V, PRL 2013)

$b_{2k}$  are compared with  $T = 0$  results and DIG approx ( $b_2 = -1/12$ )  $\rightarrow$



- Sharp change across the deconfinement transition, likely discontinuous
- For  $T > T_c$ , rapid approach to DIG  $\theta$  dependence, with deviations visible only for  $t \approx 0.05$ . The approach appears faster with increasing  $N$ .
- $b_4 = 0.0024(4)$  for  $N = 6$  and  $t = 0.09$  to be compared with  $b_4 = 1/360 = 0.00277$

## Deviations from dilute instanton gas at $t \equiv (T - T_c)/T_c \lesssim 0.1$

parametrized by a **virial-like expansion**: adding a correction proportional to the square of the instanton density

Since  $\chi(T) \sim \rho_{\text{inst}}$ ,

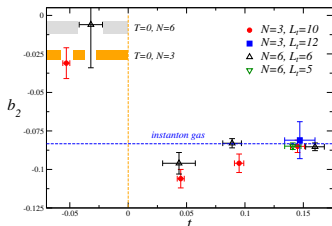
$$\mathcal{F}(\theta, T) \approx \chi(1 - \cos \theta) + \chi^2 \kappa(\theta) + O(\chi^3)$$

$$\kappa(\theta) = \sum_{k=2} c_{2k} \sin(\theta/2)^{2k}$$

Thus,  $b_2 = -\frac{1}{12} + \frac{1}{8} c_4 \chi + O(\chi^2)$

$\chi \sim T^{-11N/3+4}$  implies a rapid approach to the asymptotic DIG value, which becomes faster with increasing  $N$ .

The hard-core approximation of instanton interactions give a negative correction, i.e.  $c_4 < 0$ , explaining the approach from below to the DIG value  $b_2 = -1/12$ .



Summary of the  $\theta$  dependence in 4D  $SU(N)$  gauge theories

$$\mathcal{F}(\theta, T) \equiv F(\theta, T) - F(0, T) = \frac{1}{2}\chi(T)\theta^2 (1 + b_2(T)\theta^2 + b_4(T)\theta^4 + \dots)$$

- **Low- $T$  phase** characterized by a **large- $N$  scaling** with  $\theta/N$  as relevant variable:  $\chi/\sigma^2 \approx C_\infty + c/N^2$  and  $b_k \approx \bar{b}_k/N^k$
- Sharp change across the deconfinement transition, likely discontinuous, sharper with increasing  $N$
- **High- $T$  phase**: large- $N$  scaling is lost, the topological activity is much reduced. **The dilute instanton-gas regime sets in just above  $T_c$** , giving an analytic dependence  $F(\theta) - F(0) \approx \chi(T)(1 - \cos\theta)$
- MC simulations of lattice theory support the above scenario

**QCD:**  $\frac{1}{4}F_{\mu\nu}F_{\mu\nu} + \sum_f \bar{\psi}_f D\psi_f + \sum_f \bar{\psi}_f (\text{Re } m_f + i\text{Im } m_f \gamma_5)\psi_f - i\theta q$

- $\theta$  and  $\text{Im } m_f$  are related by chiral transformations  $\psi \rightarrow e^{i\alpha\gamma_5}\psi$
- no  $\theta$ -dep if  $m_f = 0$ , but  $m_u \approx m_d/2 > 0$ .
- $\theta$  is not RG invariant, indeed in the quark massless limit

$$\begin{pmatrix} i2N_f q(x) \\ \partial_\mu j_\mu^5(x) \end{pmatrix}_R = \begin{pmatrix} 1 & z-1 \\ 0 & z \end{pmatrix} \begin{pmatrix} i2N_f q(x) \\ \partial_\mu j_\mu^5(x) \end{pmatrix}_B$$

where  $z = 1 + \frac{g^4}{16\pi^4} \frac{3c_F}{8} N_f \frac{1}{\epsilon} + O(g^6)$ , and  $\epsilon = 2 - d/2$ ,  $c_F = (N^2 - 1)/(2N)$

- However, the residual  $\theta$  parameter when  $\text{Im } m_f = 0$  does not renormalize, essentially due to the nonrenormalizability of the anomaly equation  $\partial_\mu j_\mu^5(x) = i2p(x) + i2N_f q(x)$
- The residual  $\theta$  is a physical parameter,  $|\theta| \lesssim 10^{-9}$  from experimental bounds of NEDM.



- Large- $N$  scaling at  $T = 0$  solving  $U(1)_A$  problem (Witten, Veneziano, 1979)
  - Finite- $T$  transition from hadrons to quark-gluon plasma (crossover at the physical mass values)
  - At high- $T$  the dilute-instanton gas scenario applies to full QCD as well
- Analogous suppression of  $\theta$ -dep at high- $T$   $\rightarrow$  suppression of the  $U(1)_A$  breaking in the quark-gluon plasma.
- Crossover between the two regimes likely around the transition
  - Is the  $U(1)_A$  symmetry restored at finite  $T$ ?

DIG suggests that the  $U(1)_A$  symmetry is suppressed but not exactly recovered at finite  $T$ , due to residual small instanton effects in the chiral limit.

In two-flavor QCD, DIG predicts  $\chi \sim m_f^2 T^{-11N/3+16/3}$ , but also a residual  $U(1)_A$  breaking from Dirac zero modes

$$\chi_\pi - \chi_\delta \sim T^{-11N/3+16/3}$$

which are the susceptibilities of the  $\pi$  ( $\bar{\psi}\vec{\tau}\gamma_5\psi$ ) and  $\delta$  ( $\bar{\psi}\vec{\tau}\psi$ ) channels

The suppression of the  $\theta$  dependence and  $U(1)_A$  breaking may be relevant for the nature of the finite- $T$  transition in the chiral limit, in particular for two light flavors

In the case of a continuous transition its suppression would lead to a change of the universality class of the two-light-flavor chiral transition

$$[U(2)_L \otimes U(2)_R] / U(2)_V \neq [SU(2)_L \otimes SU(2)_R] / SU(2)_V = O(4) / O(3)$$

(Pelissetto, V, PRD 2013, Pisarski, Wilczek, PRD 1984)

→ Numerical studies of full QCD show the suppression (apparently not complete) of the  $U(1)_A$ -breaking effects at, and above, the finite- $T$  transition.

Hints for an early onset of DIG around/above the hadronic-quarkgluon (almost) transition by looking at the behavior of the susceptibilities of different channels (Bazavov, et al, PRD 2012; Buchoff et al, 2013, Cossu et al, 2013), analogously to pure  $SU(N)$  gauge theory